| Electronic Acknowledgement Receipt   |  |  |  |  |
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| EFS ID:                              | 2415230  |  |  |  |
| Application Number:                  | 09843093   |  |  |  |
| International Application Number:    |  |  |  |  |
| Confirmation Number:                 | 8423   |  |  |  |
| Title of Invention:                  | METHODS AND SYSTEMS FOR ACCESSING FINANCIAL<br>PROSPECTUS DATA |  |  |  |
| First Named Inventor/Applicant Name: | Phillip M. Ginsberg  |  |  |  |
| Customer Number:                     | 64558  |  |  |  |
| Filer:                               | Glen Farbanish/Michael Brinton                                 |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)                                    |  |  |  |

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## File Listing:

| Document<br>Number | Document Description              | File Name                           | File Size(Bytes)<br>/Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------|-----------------------------------|-------------------------------------|--|---------------------|---------------------|
| 1                  | Assignee showing of ownership per | or 01-1017_071101_Statement.<br>pdf | 73079  | no                  | 1                   |
| '                  | 37 CFR 3.73(b).                   |                                     | 577311ca3b8969b4f71c88a91401574d<br>b91a8c63 |                     |                     |
| Warnings:          |                                   |                                     |  |                     |                     |

| Information  |                   |                                   |  |    |   |  |  |
|--------------|-------------------|-----------------------------------|--|----|---|--|--|
| 2            | Power of Attorney | 01-1017_071101_Revocatio<br>n.pdf | 71399  | no | 1 |  |  |
|              | Power of Allomey  |                                   | c628c97695b738e437347d53e2ef02a9<br>e365d181 |    |   |  |  |
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.